



**DEPARTMENT OF HOUSING AND URBAN DEVELOPMENT**

**[Docket No. FR-7011-N-44]**

**30-Day Notice of Proposed Information Collection:  
Ginnie Mae Mortgage-Backed Securities Guide 5500.3, Revision 1  
(Forms and Electronic Data Submissions)  
(OMB# 2503-0033)**

**AGENCY:** Office of the Chief Information Officer, HUD.

**ACTION:** Notice.

**SUMMARY:** HUD is seeking approval from the Office of Management and Budget (OMB) for the information collection described below. In accordance with the Paperwork Reduction Act, HUD is requesting comment from all interested parties on the proposed collection of information. The purpose of this notice is to allow for 30 days of public comment.

**DATES:** Comments Due Date: **[Insert date that is 30 days after the date of publication in the Federal Register.]**

**ADDRESSES:** Interested persons are invited to submit comments regarding this proposal.

Comments should refer to the proposal by name and/or OMB Control Number and should be sent to: HUD Desk Officer, Office of Management and Budget, New Executive Office Building, Washington, DC 20503; fax:202-395-5806, Email: [OIRA\\_Submission@omb.eop.gov](mailto:OIRA_Submission@omb.eop.gov)

**FOR FURTHER INFORMATION CONTACT:** Anna P.Guido, Reports Management Officer, QMAC, Department of Housing and Urban Development, 451 7<sup>th</sup> Street, SW, Washington, DC 20410; e-mail her at [Anna.P.Guido@hud.gov](mailto:Anna.P.Guido@hud.gov) or telephone 202-402-5535. This is not a toll-free number. Person with hearing or speech impairments may access this number through TTY by calling the toll-free Federal Relay Service at (800) 877-8339. Copies of available documents submitted to OMB may be obtained from Ms. Guido.

**SUPPLEMENTARY INFORMATION:** This notice informs the public that HUD is seeking approval from OMB for the information collection described in Section A.

The **Federal Register** notice that solicited public comment on the information collection for a period of 60 days was published on July 19, 2019.

#### **A. Overview of Information Collection**

Title of Information Collection: Ginnie Mae Mortgage-Backed Securities Guide 5500.3, Revision 1 (Forms and Electronic Data Submissions).

OMB Approval Number: 2503-0033.

Type of Request: Extension of currently approved collection.

Form Number: listed below.

Description of the need for the information and proposed use: Ginnie Mae's Mortgage-Backed Securities Guide 5500.3, ("Guide") provides instructions and guidance to participants in the Ginnie Mae Mortgage-Backed Securities ("MBS") programs ("Ginnie Mae I and Ginnie Mae II"). Under the Ginnie Mae I program, securities are backed by single-family or multifamily loans. Under the Ginnie Mae II program securities are only backed by single-family loans. Both the Ginnie Mae I and II MBS are modified pass-through securities. The Ginnie Mae II multiple Issuer MBS is structured so that small issuers, who do not meet the minimum number of loans and dollar amount requirements of the Ginnie Mae I MBS, can participate in the secondary mortgage market. In addition, the Ginnie Mae II MBS permits the securitization of adjustable rate mortgages ("ARMs").

<b>Form</b>	<b>Appendix No.</b>	<b>Title</b>	<b>No. of Respondents</b>	<b>Frequency of Responses per year</b>	<b>Total Annual Responses</b>	<b>Hours Per Response</b>	<b>Total Annual Hours</b>	<b>Hourly Cost per Response</b>	<b>Estimated Annual Cost to Respondents (Issuers)</b>
11700	II-1	Letter of Transmittal for Commitment Authority and/or Pool Numbers	368.00	4.00	1,472.00	0.03	44.16	29.00	1,280.64
11701	I-1	Application for Approval Ginnie Mae Mortgage-Backed Securities Issuer	15.00	1.00	15.00	3.00	45.00	29.00	1,305.00
11702	I-2	Resolution of Board of Directors and Certificate of Authorized Signatures	423.00	1.00	423.00	0.08	33.84	29.00	981.36
11703-II	I-7	Master Agreement for Participation Accounting	17.00	1.00	17.00	0.08	1.36	29.00	39.44
11704	II-2	Commitment to Guaranty Mortgage-Backed Securities	368.00	4.00	1,472.00	0.03	44.16	29.00	1,280.64
11707	III-1	Master Servicing Agreement	423.00	1.00	423.00	0.02	8.46	29.00	245.34
11709	III-2	Master Agreement for Servicer's Principal and Interest Custodial Account	423.00	1.00	423.00	0.03	12.69	29.00	368.01
11715	III-4	Master Custodial Agreement	423.00	1.00	423.00	0.03	12.69	29.00	368.01
11720	III-3	Master Agreement for Servicer's Escrow Custodial Account	3,428.00	1.00	3,428.00	0.02	68.56	29.00	1,988.24
11732	III-22	Custodian's Certification for Construction Securities	55.00	1.00	55.00	0.02	1.10	29.00	31.90
	VI-20	Electronic Submission of Issuers' Insurance and Annual Audited Financial Documents	423.00	1.00	423.00	1.00	423.00	29.00	12,267.00
11750		Mortgage Bankers Financial Reporting Form	368.00	4.00	1,472.00	0.17	250.24	29.00	7,256.96
11709-A	I-6	ACH Debit Authorization	423.00	1.00	423.00	0.03	12.69	29.00	368.01
11710 D	VI-5	Issuer's Monthly Summary Reports	368.00	12.00	4,416.00	0.13	574.08	29.00	16,648.32
	VI-21	HMBS issuer's Monthly Summary Report	16.00	12.00	192.00	0.08	14.40	29.00	417.60
	III-13	Electronic Data Interchange System Agreement	15.00	1.00	15.00	0.03	0.45	29.00	13.05

	I-4	Cross Default Agreement	10.00	1.00	10.00	0.05	0.50	29.00	14.50
	VI-18	WHFIT Reporting	368.00	4.00	1,472.00	0.13	191.36	29.00	5,549.44
	III-29	System Access Forms	277.00	1.00	277.00	2.00	554.00	29.00	16,066.00
	VIII-1	Ginnie Mae Acknowledgement Agreement an Accompanying Documents Pledge of Servicing	10.00	1.00	10.00	1.00	10.00	29.00	290.00
	VI-14	Multifamily Prepayment Penalty Record File Layout	40.00	12.00	480.00	0.05	24.00	29.00	696.00
	VI-16	Quarterly Custodial Account Verification Record File Layout	368.00	4.00	1,472.00	0.17	250.24	29.00	7,256.96
	VI-17	HMBS Issuer Pooling & Reporting Specification for Mortgage-Backed Securities Administration Agent	16.00	12.00	192.00	0.13	24.96	29.00	723.84
	VI-19	Monthly Pool and Loan Level Report (RFS)	368.00	12.00	4,416.00	4.00	17,664.00	29.00	512,256.00

The burden for the items listed below is based on volume and/or number of requests

11705	III-6	Schedule of Subscribers and Ginnie Mae Guaranty Agreement	5,591.00	12.00	67,092.00	0.05	3,354.60	29.00	97,283.40
11706	III-7	Schedule of Pooled Mortgages	5,591.00	12.00	67,092.00	0.08	5,367.36	29.00	155,653.44
11705 H 11706 H	III-28	Schedule of Subscribers and Ginnie Mae Guaranty Agreement - HMBS Pooling Import File Layout	74.00	12.00	888.00	0.10	88.80	29.00	2,575.20
	V-5	Document Release Request	3,181.00	1.00	3,181.00	0.05	159.05	29.00	4,612.45
	XI-6 XI-8 XI-9	SSCRA Loan Eligibility Information Solders' and Sailors' Quarterly Reimbursement	1,350.00	4.00	5,400.00	0.10	540.00	29.00	15,660.00

		Request SSCRA Eligibility and Reimbursement Files							
11711A and 11711B	III-5	Release of Security Interest and Certification and Agreement	5,591.00	12.00	67,092.00	0.10	6,709.20	29.00	194,566.80
11714 11714SN	VI-10 VI-11	Issuer's Monthly Remittance Advice Issuer's Monthly Serial Note Remittance Advice	3,975.00	12.00	56,400.00	0.03	1,692.00	29.00	49,068.00
	VI-2	Letter for Loan Repurchase	50.00	12.00	600.00	0.03	18.00	29.00	522.00
	III-21	Certification Requirements for the Pooling of Multifamily Mature Loan Program	322.00	1.00	322.00	0.03	9.66	29.00	280.14
	VI-9	Request for Reimbursement of Mortgage Insurance Claim Costs for Multifamily Loans	3.00	12.00	36.00	0.08	2.88	29.00	83.52
	VIII-3	Assignment Agreements	220.00	1.00	220.00	0.13	28.60	29.00	829.40
<b>Total</b>				<b>Varies</b>	<b>291,744.000</b>	<b>Varies</b>	<b>38,236.09</b>		<b>\$ 1,108,846.61</b>

## B. Solicitation of Public Comment

This notice is soliciting comments from members of the public and affected parties concerning the collection of information described in Section A on the following:

- (1) Whether the proposed collection of information is necessary for the proper performance of the functions of the agency, including whether the information will have practical utility;
- (2) The accuracy of the agency's estimate of the burden of the proposed collection of information;
- (3) Ways to enhance the quality, utility, and clarity of the information to be collected; and
- (4) Ways to minimize the burden of the collection of information on those who are to respond; including through the use of appropriate automated collection techniques or

other forms of information technology, e.g., permitting electronic submission of responses.

HUD encourages interested parties to submit comment in response to these questions.

**C. Authority:** Section 3507 of the Paperwork Reduction Act of 1995, 44 U.S.C. Chapter 35.

**Date:** October 16, 2019

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Anna P. Guido  
Department Reports Management Officer  
Office of the Chief Information Officer

**Billing Code: 4210-67**

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